

**INFORMATION DISCLOSURE STATEMENT**

Applicant	:	Hiroshi Shinbori
App. No	:	Unknown
Filed	:	Herewith
For	:	RESIN FOR POSITIVE RESIST COMPOSITION, AND POSITIVE RESIST COMPOSITION USING THE SAME, LAMINATE AND METHOD FOR FORMING RESIST PATTERN
Examiner	:	Unknown
Art Unit	:	Unknown

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Enclosed for filing in the above-identified application is a PTO/SB/08 Equivalent listing 17 references to be considered by the Examiner. Also enclosed are 12 foreign patent references and/or non-patent literature as listed on the Information Disclosure Statement. Please note the relevance of references 6 through 12 is that they are cited in the International Search Report from PCT priority application serial no. PCT/JP2004/009875. The relevance of references 13 through 15 is that they are cited in the subject specification. Please also note that reference US 6,284,430 corresponds to JP 11-305442 A; US reference 5,736,296 corresponds to JP 8-15864; and US reference 6,419,845 corresponds to JP 11-339223.

This Information Disclosure Statement is being filed within three months of the filing date, with an RCE or before receipt of a first office action after an RCE and no fee is required.

Appl. No. : Unknown  
Filed : Herewith

10/561760  
IAP9 Rec'd PCT/PTO 21 DEC 2005  
Docket No. SHIGA7.039APC  
Customer No. 20,995

The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 11-1410.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: 21 Dec. 2005

By: Daniel E. Altman

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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(Multiple sheets used when necessary)</i>	Application No. <b>JP9 Rec'd PCT/PTO 21 DEC 2005</b>
	Filing Date Herewith
	First Named Inventor Hiroshi Shinbori
	Art Unit Unknown
Examiner Unknown	Attorney Docket No. SHIGA7.039APC
SHEET 1 OF 1	

## U.S. PATENT DOCUMENTS

Examiner Initials	Cite No.	Document Number Number - Kind Code (if known) Example: 1,234,567 B1	Publication Date MM-DD-YYYY	Name of Patentee or Applicant	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear
	1	6,284,430 B1	09-04-2001	Oomori et al.	
	2	5,736,296	04-07-1998	Sato et al.	
	3	6,419,845 B1	07-16-2002	Sasaki	
	4	2003/0016475 A1	01-23-2003	Hoshiya et al.	
	5	2002/0111459 A1	08-15-2002	Takeda et al.	

## FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No.	Foreign Patent Document Country Code-Number-Kind Code Example: JP 1234567 A1	Publication Date MM-DD-YYYY	Name of Patentee or Applicant	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear	T <sup>1</sup>
	6	JP 2002-234910	08-23-2002	Shin Etsu Chem Co. Ltd.		Abstract
	7	JP 2001-081137	03-27-2001	Wako Pure Chem.		Abstract
	8	JP 2001-142214	05-25-2001	Fuji Photo Film Co. Ltd.		Abstract
	9	JP 2003-110166	04-11-2003	Canon Inc.		Abstract
	10	JP 2003-124539	04-25-2003	Canon Inc.		Abstract
	11	JP 2003-031867	01-31-2003	Hitachi Ltd.		Abstract
	12	JP 2002-100013	04-05-2002	Sony Corp.		Abstract
	13	JP 11-305442	11-05-1999			
	14	JP 8-15864	01-19-1996			
	15	JP 11 339223	23-10-1999			

## NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>1</sup>
	16	International Search Report from PCT priority application serial no. PCT/JP2004/009875.	
	17	Written Opinion from PCT priority application serial no. PCT/JP2004/009875.	

2222914:vr121905

Examiner Signature	Date Considered
<b>*Examiner:</b> Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

T<sup>1</sup> - Place a check mark in this area when an English language Translation is attached.